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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: CHEN-CHUNG S. CHANGApplication No./Patent No.: 7,776,454 Filed/Issue Date: 2003-12-22Entitled: TI BRAZING STRIPS OR FOILS

HONEYWELL INTERNATIONAL INC. a a corporation  
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
 (The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

<u>/Michael A. Shimokaji/</u>	_____
Signature	Date
<u>Michael A. Shimokaji</u>	<u>(949) 788-9961</u>
Printed or Typed Name	Telephone Number
<u>Attorney of Record</u>	_____
Title	

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

**WHEREAS**, the undersigned inventor(s) (hereinafter individually and collectively referred to as "ASSIGNOR") has invented new and useful improvements in:

**Ti Brazing Strips or Foils**

for which application for patent in the United States:

\_\_\_\_\_ has been executed on even date herewith;

\_\_\_\_\_ was executed on \_\_\_\_\_;

X was filed on December 22, 2003 and assigned U.S. Application No. 10/743,641, now US Patent 7,776,454;

**AND WHEREAS**, the "Assigned Property" shall mean (i) the above-identified United States application for patent (the "Application"), (ii) all inventions disclosed in the Application, (iii) any and all applications for patent throughout the world directed to the subject matter of the Application, (iv) the right of priority arising from the Application or from such other applications, under the Paris Convention for the Protection of Industrial Property or under relevant laws, treaties, conventions or bilateral and multilateral agreements, in any jurisdiction, (v) any and all patents throughout the world resulting from the Application or such other application(s) for patents, and (vi) any and all claims against third parties in connection with any of the rights assigned by this Agreement;

**AND WHEREAS**, Honeywell International Inc., a Delaware corporation having a place of business at 101 Columbia Road, POB 2245, Morristown, N.J. 07962-2245, its successors and assigns (hereinafter individually and collectively referred to as "ASSIGNEE"), is desirous of acquiring ASSIGNOR's entire right, title, and interest in and to and resulting from said Assigned Property and in general all property rights included therein;

**NOW, THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR does hereby irrevocably and unconditionally assign and transfer unto ASSIGNEE ASSIGNOR's entire right, title and interest in and to and resulting from the Assigned Property, and hereby authorizes and requests the Commissioner of Patents to issue said patent to ASSIGNEE for the sole use and benefit of ASSIGNEE; ASSIGNEE hereby accepts such transfer and assignment;

**AND ASSIGNOR FURTHERMORE** authorizes ASSIGNEE, or anyone it may properly designate, to insert on each page of this instrument (where indicated) the filing date and application number of said Application when known;

**AND ASSIGNOR AGREES, FURTHERMORE**, upon request of ASSIGNEE, and without further remuneration, but at no expense to ASSIGNOR, that ASSIGNOR or ASSIGNOR's heirs, executors, or administrators will provide all reasonable assistance to obtain, maintain, and assert the fullest measure of legal protection that ASSIGNEE desires to obtain or assert for the Assigned Property, including executing any and all papers desired by ASSIGNEE for the filing and granting of patent rights in the Assigned Property, the perfecting of title in ASSIGNEE, and in enforcing any rights in the Assigned Property.

This instrument is executed by, and shall be binding upon ASSIGNOR, and ASSIGNOR's heirs, executors and administrators, for the uses and purposes above set forth and referred to and shall inure to the benefit of ASSIGNEE or anyone it may properly designate.

If any provision of this assignment is held by any court to be unenforceable, such provision shall be interpreted to accomplish the objectives of the original provision to the fullest extent allowed by law and the remainder of this assignment shall remain in full force and effect.

This assignment shall be construed, governed, interpreted and applied in accordance with the laws of the State of Delaware, United States of America.

**ASSIGNMENT**

**EXECUTED** on behalf of ASSIGNEE and effective as of the latest date of the ASSIGNOR signature(s) below:



David S. Hoiris  
Associate General Counsel & Chief IP Counsel  
Honeywell International Inc.

**EXECUTED** as of the date(s) set forth below on behalf of the ASSIGNOR:



MATTHEW J. POHLMAN

Date: 9 AUGUST 2011



## UNITED STATES PATENT AND TRADEMARK OFFICE

### Facsimile Transmission

To: Name: SHIMOKAJI & ASSOCIATES, P.C.  
Company: 8911 RESEARCH DRIVE  
Fax Number: 19497889969  
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Voice Phone: 571-272-3350

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Pg#	Description
1	Cover Sheet
2	Notice
4	Batch 1 03929 Document 1

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**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 02, 2011

PTAS

SHIMOKAJI & ASSOCIATES, P.C.  
8911 RESEARCH DRIVE  
IRVINE, CA 92618



\*501646328\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

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NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 029508 (039-1060)

ASSIGNOR:

POHLMAN, MATTHEW J.

DOC DATE: 08/09/2011

ASSIGNEE:

HONEYWELL INTERNATIONAL, INC.

101 COLUMBIA ROAD

P.O. BOX 2245

MORRISTOWN, NEW JERSEY 07962-2245

026847/0592 PAGE 2

APPLICATION NUMBER: 10743641  
PATENT NUMBER: 1116454  
TITLE: TI BRAZING STRIPS OR FOILS

FILING DATE: 12/22/2003  
ISSUE DATE: 08/17/2010

ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.1

09/01/2011  
501646328

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Matthew J. POHLMAN	08/09/2011
RECEIVING PARTY DATA	
Name:	HONEYWELL INTERNATIONAL, INC.
Street Address:	101 COLUMBIA ROAD
Internal Address:	P.O. BOX 2245
City:	MORRISTOWN
State/Country:	NEW JERSEY
Postal Code:	07962-2245
PROPERTY NUMBERS Total: 1	
Property Type	Number
Patent Number:	7776454
CORRESPONDENCE DATA	
Fax Number:	(949)788-9969
Correspondence will be sent via US Mail when the fax attempt is unsuccessful.	
Phone:	949-788-9961
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Correspondent Name:	Shimokaji & Associates, P.C.
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ATTORNEY DOCKET NUMBER:	H29508 (039-1060)
NAME OF SUBMITTER:	MICHAEL A. SHIMOKAJI
Total Attachments: 2 source=H29508 Assignment Signed 08-09-11_039-1060#page1.tif source=H29508 Assignment Signed 08-09-11_039-1060#page2.tif	

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